

IAN F. BURNS & ASSOCIATES, P.C.
Intellectual Property Law

Sector \$

Ian F. Burns*†
Robert C. Ryan*‡

P.O. Box 20038
560 East Plumb Lane
Reno, NV 89515-0038

Phone: (775) 826-6160
Fax: (775) 825-6072
E-mail: iburns@nevadapatentlaw.com

*Admitted in U.S. Patent and Trademark Office

†Admitted in California, Hawaii & Nevada

‡Admitted in Illinois & Nevada

March 7, 2001

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231



In re application of: Robert A. Luciano et al.

Serial number: 09/742,679

Filed: December 20, 2000

Title: APPARATUS AND METHOD FOR MAINTAINING GAME STATE

Attorney docket number: 732.462 SDG.UA-Maintaining Game State

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. A Notice to File Missing Parts of Non-Provisional Application.
2. A Declaration for Utility Patent Application.
3. A Statement Claiming Small Entity Status.
4. A Fee Transmittal form.
5. A Credit Card Payment Form.
6. A return receipt postcard.

Cordially yours,

Ian F. Burns

Registration Number: 33,297

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:



MAILING

Deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant
Commissioner for Patents, Washington, D.C.
20231.

Date: March 7, 2001



FACSIMILE

Transmitted by facsimile to the Patent and
Trademark Office.

Sheryl Hodge
Signature

Sheryl Hodge
Name

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.TOTAL AMOUNT OF PAYMENT (\$)1,179.00

Complete if Known

Application Number	09/742,679
Filing Date	December 20, 2000
First Named Inventor	Robert A. Luciano et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	732.462



METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number	<u>50,0913</u>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name	Ian F. Burns & Associates	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		105 130 205 65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Payment Enclosed:		127 50 227 25	Surcharge - late provisional filing fee or cover sheet
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other		139 130 139 130	Non-English specification
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)		(\$) <u>355.00</u>	
2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
-20**	=71	X 9	= <u>639</u>
Independent Claims	6	- 3**	= <u>120</u>
Multiple Dependent			
**or number previously paid, if greater; For Reissues, see below			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$) <u>759.00</u>	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	<u>65.00</u>
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	0.00
139 130 139 130		Non-English specification	0.00
147 2,520 147 2,520		For filing a request for reexamination	0.00
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	0.00
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	0.00
115 110 215 55		Extension for reply within first month	0.00
116 380 216 190		Extension for reply within second month	0.00
117 870 217 435		Extension for reply within third month	0.00
118 1,360 218 680		Extension for reply within fourth month	0.00
128 1,850 228 925		Extension for reply within fifth month	0.00
119 300 219 150		Notice of Appeal	0.00
120 300 220 150		Filing a brief in support of an appeal	0.00
121 260 221 130		Request for oral hearing	0.00
138 1,510 138 1,510		Petition to institute a public use proceeding	0.00
140 110 240 55		Petition to revive - unavoidable	0.00
141 1,210 241 605		Petition to revive - unintentional	0.00
142 1,210 242 605		Utility issue fee (or reissue)	0.00
143 430 243 215		Design issue fee	0.00
144 580 244 290		Plant issue fee	0.00
122 130 122 130		Petitions to the Commissioner	0.00
123 50 123 50		Petitions related to provisional applications	0.00
126 240 126 240		Submission of Information Disclosure Stmt	0.00
581 40 581 40		Recording each patent assignment per property (times number of properties)	0.00
146 690 246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690 249 345		For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)		0.00	
Other fee (specify)		0.00	
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$) <u>65.00</u>	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ian F. Burns	Registration No. (Attorney/Agent)	33,297
Signature		Telephone	826-6160
		Date	3/7/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/742,679

12/20/2000

Robert A. Luciano

732.462



21707
IAN F. BURNS
P O BOX 20038
RENO, NV 89515

CONFIRMATION NO. 9914

FORMALITIES LETTER



OC000000005740233

Date Mailed: 02/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$1518.
 - \$1278 for 71 total claims over 20.
 - \$240 for 3 independent claims over 3 .
 - The oath or declaration is missing.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2358.

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8888

555.00
639.00
120.00
65.00

03/14/2001 BN0UYEN1 00000055 09742679

01 FC:201
02 FC:203
03 FC:202
04 FC:205

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE